

AD-A185 376

RESONANT LEVEL LIFETIME IN GAAS/ALGAAS DOUBLE-BARRIER  
STRUCTURES(U) HARRY DIAMOND LABS ADELPHI MD  
T B BANDER ET AL AUG 87 HDL-TR-2125

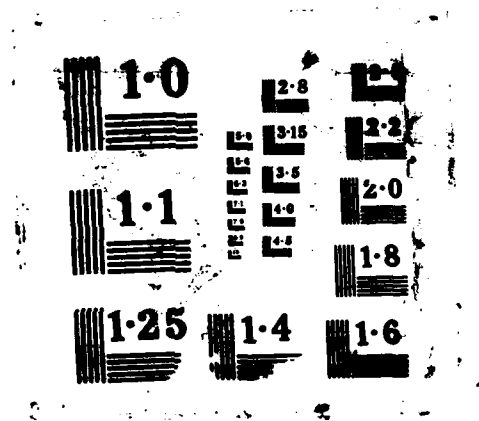
1/1

UNCLASSIFIED

F/G 28/12

NL





AD-A185 376

DTIC FILE COPY

12

HDL-TR-2125

August 1987

DTIC  
ELECTE  
OCT 07 1987  
S D

**Resonant Level Lifetime in GaAs/AlGaAs Double-Barrier Structures**

by Thomas B. Bahder  
Clyde A. Morrison  
John D. Bruno



**U.S. Army Laboratory Command  
Harry Diamond Laboratories  
Adelphi, MD 20783-1197**

Approved for public release; distribution unlimited.

87 10 1 04

The findings in this report are not to be construed as an official Department of the Army position unless so designated by other authorized documents.

Citation of manufacturers' or trade names does not constitute an official indorsement or approval of the use thereof.

Destroy this report when it is no longer needed. Do not return it to the originator.

AD A185 376

REPORT DOCUMENTATION PAGE				
1a. REPORT SECURITY CLASSIFICATION UNCLASSIFIED		1b. RESTRICTIVE MARKINGS		
2a. SECURITY CLASSIFICATION AUTHORITY		3. DISTRIBUTION / AVAILABILITY OF REPORT Approved for public release; distribution unlimited.		
2b. DECLASSIFICATION / DOWNGRADING SCHEDULE				
4. PERFORMING ORGANIZATION REPORT NUMBER(S) HDL-TR-2125		5. MONITORING ORGANIZATION REPORT NUMBER(S)		
6a. NAME OF PERFORMING ORGANIZATION Harry Diamond Laboratories	6b. OFFICE SYMBOL (if applicable) SLCHD-RT-RA	7a. NAME OF MONITORING ORGANIZATION		
6c. ADDRESS (City, State, and ZIP Code) 2800 Powder Mill Road Adelphi, MD 20783-1197		7b. ADDRESS (City, State, and ZIP Code)		
8a. NAME OF FUNDING / SPONSORING ORGANIZATION U.S. Army Laboratory Command	8b. OFFICE SYMBOL (if applicable) AMSLC	9. PROCUREMENT INSTRUMENT IDENTIFICATION NUMBER		
8c. ADDRESS (City, State, and ZIP Code) 2800 Powder Mill Road Adelphi, MD 20783-1145		10. SOURCE OF FUNDING NUMBERS		
		PROGRAM ELEMENT NO. 61102A	PROJECT NO. 1L1611-02AH44	WORK UNIT ACCESSION NO.
11. TITLE (Include Security Classification) Resonant Level Lifetime in GaAs/AlGaAs Double-Barrier Structures				
12. PERSONAL AUTHOR(S) Thomas B. Bahder, Clyde A. Morrison, John D. Bruno				
13a. TYPE OF REPORT Final	13b. TIME COVERED FROM Feb 87 TO Jun 87	14. DATE OF REPORT (Year, Month, Day) August 1987	15. PAGE COUNT 8	
16. SUPPLEMENTARY NOTATION HDL Project: AE1759, AMS Code: 611102H440011				
17. COSATI CODES			18. SUBJECT TERMS (Continue on reverse if necessary and identify by block number)	
FIELD	GROUP	SUB-GROUP		
20	12		Tunneling, GaAs, AlGaAs, bistability, negative differential resistance, lifetime	
19. ABSTRACT (Continue on reverse if necessary and identify by block number)				
<p>The lifetime of the lowest quasi-bound state localized between the barriers of a GaAs/AlGaAs double-barrier structure is calculated as a function of barrier and well dimensions. The results are consistent with high-frequency experiments.</p>				
20. DISTRIBUTION / AVAILABILITY OF ABSTRACT <input checked="" type="checkbox"/> UNCLASSIFIED/UNLIMITED <input type="checkbox"/> SAME AS RPT. <input type="checkbox"/> DTIC USERS			21. ABSTRACT SECURITY CLASSIFICATION UNCLASSIFIED	
22a. NAME OF RESPONSIBLE INDIVIDUAL Thomas B. Bahder		22b. TELEPHONE (Include Area Code) (202) 394-2042	22c. OFFICE SYMBOL SLCHD-RT-RA	

Recently there has been considerable interest in GaAs/AlGaAs double-barrier structures. These structures exhibit a number of interesting features, including negative differential resistance (NDR) [1], fast response times [2], and bistability in current-voltage response [3]. For applications purposes, these structures are particularly attractive because of their NDR and fast response times. The regions of NDR in the I-V characteristics are generally associated with the sharp peaks in the transmission coefficient at certain (resonant) energies, and a theory of I-V response based on this assumption was proposed [4].

The experiments of Sollner et al. [2] indicate that there is significant current response to applied fields at frequencies as high as  $f = 2.5$  THz. This suggests that processes responsible for the NDR have characteristic times which are shorter than the period  $1/f = 4 \times 10^{-13}$  s. The characteristic times involved in resonant transport are believed to be the lifetimes of the quasi-bound levels between the barriers [5]. At low frequencies, such that  $1/f \gg \tau$ , where  $\tau$  is the lifetime of the lowest energy quasi-bound state, current response is expected to follow the dc I-V curve. However, at high frequencies, where  $1/f \ll \tau$ , one expects negligible resonant response to the applied voltage.

A semiclassical estimate of the lifetime  $\tau$  has been made by Luryi [6]; however, when compared with experiments [2], his estimate is too large by almost three orders of magnitude. In order to reconcile his predictions with experiment, Luryi proposed a kinematic argument (sequential tunneling) to explain the observed NDR. Recently, Weil and Vinter [7] have

shown that both explanations of the peak in the I-V curves lead to the same prediction for the I-V response. At this point it is not clear whether these two mechanisms are fundamentally distinct.

It is the purpose of this report to show that within a simple effective-mass picture, a quantum mechanical calculation of the resonant level lifetime is consistent with existing experimental observations [2]. Our results can be used to estimate the lifetimes of the resonant levels for a range of aluminum concentrations and barrier and well dimensions.

We solve the one-dimensional effective-mass Schrodinger equation with the double-barrier potential

$$V(x) \begin{cases} = 0 & 0 < x < a \\ = V & a < x < a + b \\ = 0 & x > a + b \end{cases} \quad (1)$$

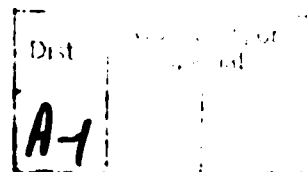
where  $V(x)$  is an even function of  $x$  (the growth direction). In the half-space  $x > 0$ , we take the even wavefunctions to be

$$\psi(x) \begin{cases} = A \cos(gx) & 0 < x < a \\ = B \exp(g_1 x) & a < x < a + b \\ = C \exp(-g_1 x) & a < x < a + b \\ = D \exp(igx) & x > a + b \end{cases} \quad (2)$$

where

$$g_1 = \left[ \frac{2m_c}{\hbar^2} V - g^2 \right]^{1/2},$$

and the wavevectors,  $g$  and  $g_1$ , and energy eigenvalues,  $E = \hbar^2 G^2 / 2m_c$ , are complex



numbers [8]. This wavefunction represents a particle in the well which can tunnel out to the left or right. Matching the wavefunction and its first derivative at  $x = a$  and  $x = a + b$  gives a system of four homogeneous equations for the constants  $A, B, C$ , and  $D$ . These equations will have a nontrivial solution if we require the determinant of the coefficient matrix to vanish. For the wavefunctions of equation (2), this condition gives the following equation for the allowed wavevectors:

$$h(z) \frac{\cot(z)}{z} + \frac{h(z) [1 + \exp\{2\delta h(z)\}] + iz [1 - \exp\{2\delta h(z)\}]}{h(z) [1 - \exp\{2\delta h(z)\}] + iz [1 + \exp\{2\delta h(z)\}]} = 0, \quad (3)$$

where  $z = ga$ ,  $\delta = b/a$ , and the dimensionless potential is defined as  $U = 2m_e a^2 V / \hbar^2$ . The complex function  $h(z)$  is chosen such that

$$\begin{aligned} h(z) &= [u - z^2]^{1/2} & \text{Re}(z^2) < U, \\ h(z) &= i[z^2 - U]^{1/2} & \text{Re}(z^2) > U, \end{aligned}$$

where the principal branch is taken for the square root. When  $\text{Re}(z^2) < U$ , the resonant level lies below the tops of the barriers; when  $> U$ , the resonant level lies above the tops of the barriers. Wavevectors for the odd eigenfunctions satisfy an equation identical to equation (3), but with  $\cot(z)$  replaced by  $-\tan(z)$ .

The roots of equation (3) are located at  $z_n$ ,  $n = \pm 1, \pm 2, \pm 3, \dots$ , such that  $z_n = -z_{-n}^*$ . We have numerically solved for these roots as a function of  $U$  and  $\delta$ . All roots have negative imaginary parts [9], and the magnitude of both the real and imaginary parts increases with increasing  $n$ . This leads to wavefunctions with a

time dependence given by  $\exp(-i\Omega_n t) \exp(-t/2\tau_n)$ , where the energy of a resonant level,  $\hbar\Omega_n$ , and the lifetime,  $\tau_n$ , are functions of the roots  $z_n$ :

$$\Omega_n = \omega_0 \text{Re}[z_n^2], \quad (4)$$

$$\tau_n = \frac{-1}{2\omega_0 \text{Im}[z_n^2]}, \quad (5)$$

where  $\omega_0$  is defined by

$$\omega_0 = \hbar / 2m_e a^2.$$

If we order the roots with positive real parts according to  $\text{Re}(z_1) < \text{Re}(z_2) < \text{Re}(z_3) \dots$ , the increasing index  $n$  labels resonant levels of increasing energy and decreasing lifetime.

In figure 1 we plot the logarithm of the lifetime of the lowest resonant level as a function of  $\delta$ , for several values of  $U$ . The curves are straight lines, which indicates that the lifetime is an exponential function of  $\delta$ , as one might expect. For a given well width,  $a$ , the lifetime increases with both the barrier height and width. In figure 2 we show the energy of the lowest resonant level,  $\hbar\Omega_1$ , as a function of  $\delta$ . For a given barrier height, the energy has a strong dependence for narrow barriers (values of  $\delta \leq 1$ ). Note also that for a given barrier width, the energy of the resonant state increases with barrier height, which is a simple consequence of electron confinement.

We apply our results to the experiments of Sollner et al. [2], which had the parameter values  $a = 24 \text{ \AA}$ ,  $\delta = 2$ , and  $V = 0.23 \text{ eV}$ . Using

the effective mass for conduction electrons in GaAs,  $m_c = 0.067 m_0$ , the frequency scale is  $\omega_0 = 1.38 \times 10^{14} \text{ s}^{-1}$ , and  $U = 2.5$  is the dimensionless potential. For these parameter values we find the lifetime of the lowest resonance to be  $\tau_1 = 6.4 \times 10^{-13} \text{ s}$ . We believe this value for the lifetime is consistent with the high frequency experiments, considering the degree of approximation involved. We have ignored the effects of bias voltage on the potential energy shape and have used the effective mass theory in a rather cavalier manner, ignoring both the mixing of  $\Gamma$ - and X-point states for high aluminum concentration [10] and details of band structure [11]. We believe that a more careful treatment including these effects would not substantially alter our results.

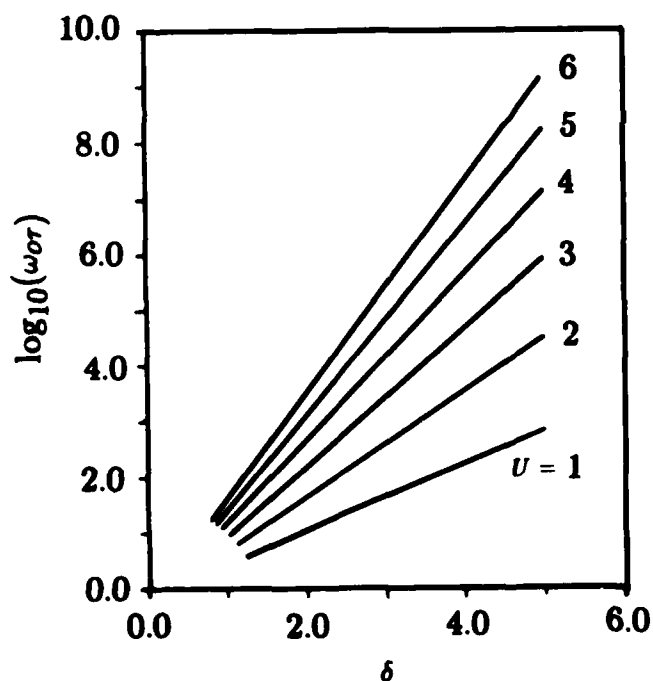


Figure 1. The base 10 logarithm of the product  $\omega_0 \tau$  is plotted versus  $\delta = \hbar \omega_0$ , where  $\tau$  is the lifetime of the lowest resonant level ( $\equiv \tau_1$  in the text).

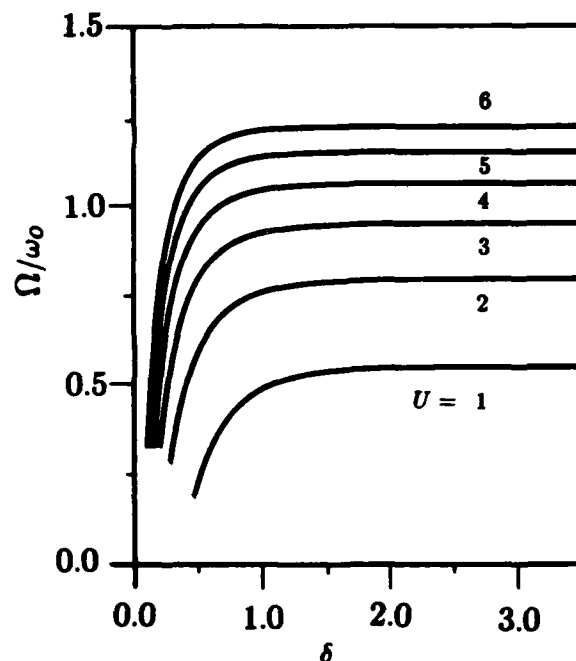


Figure 2. The energy of the lowest resonant state,  $\hbar \Omega$  ( $\equiv \hbar \Omega_1$  in the text), is plotted (in units of  $\hbar \omega_0$ ) versus  $\delta$ , for several values of  $U$ .

## References

1. L. L. Chang, L. Esaki, and T. Tsu, *Resonant Tunneling in Semiconductor Double Barriers*, Appl. Phys. Lett. **24** (1974), 593.
2. T.C.L.G. Sollner, W. D. Goodhur, P. E. Tannenwald, C. D. Parker, and D. D. Peck, *Resonant Tunneling Through Quantum Wells at Frequencies up to 2.5 THz*, Appl. Phys. Lett. **43** (1983), 588.
3. V. J. Goldman, D. C. Tsui, and J. E. Cunningham, *Observation of Intrinsic Bi-stability in Resonant-Tunneling Structures*, Phys. Rev. Lett. **58** (1987), 1256.
4. R. Tsu and L. Esaki, *Tunneling in a Finite Superlattice*, Appl. Phys. Lett. **22** (1973), 562.



5. B. Ricco and M. Ya. Azbel, *Physics of Resonant Tunneling. The One-Dimensional Double-Barrier Case*, Phys. Rev. B29 (1984), 1970.
6. S. Luryi, *Frequency Limit of Double-Barrier Resonant-Tunneling Oscillators*, Appl. Phys. Lett. 47 (1985), 490.
7. T. Weil and B. Vinter, *Equivalence Between Resonant Tunneling and Sequential Tunneling in Double-Barrier Diodes*, Appl. Phys. Lett. 50 (1987), 1281.
8. See, for example, *Quantum Mechanics*, L. D. Landau and E. M. Lifshitz, Pergamon Press, New York (1977), section 134.
9. The apparent root when  $h(z) = 0$  of equation (3) is spurious. At this root,  $g_1 = 0$  and  $g^2 = 2m_c V/h^2$ , so the wavefunction in the region  $0 < x < a + b$  is  $\psi(x) = Bx + C$ . Proceeding as in the derivation of equation (3) gives  $\cot(z) - (z\delta + i) = 0$ , and  $z = \sqrt{U}$  is not a solution.
10. L. Brey and C. Tejedor, *Tunneling of Electrons in Quantum Wells with Indirect Gap Semiconductor Barriers*, Solid State Comm. 61 (1987), 573.
11. R. Lassnig, *Tunneling Through Semiconductor Heterojunction Barriers*, Solid State Comm. 61 (1987), 577.

# DISTRIBUTION

ADMINISTRATOR  
DEFENSE TECHNICAL INFORMATION CENTER  
ATTN DTIC-DDA (12 COPIES)  
CAMERON STATION, BUILDING 5  
ALEXANDRIA, VA 22304-6145

ENGINEERING SOCIETIES LIBRARY  
ATTN ACQUISITIONS DEPT  
345 EAST 47TH STREET  
NEW YORK, NY 10017

COMMANDER  
US ARMY MATERIALS & MECHANICS  
RESEARCH CENTER  
ATTN DRXMR-TL, TECH LIBRARY BR  
WATERTOWN, MA 02172

COMMANDER  
US ARMY RESEARCH OFFICE (DURHAM)  
PO BOX 12211  
ATTN B. D. GUENTHER  
ATTN R. J. LONTZ  
ATTN C. BOGOSIAN  
ATTN M. STROSCIO  
RESEARCH TRIANGLE PARK, NC 27709

COMMANDER  
US ARMY COMBAT SURVEILLANCE & TARGET  
ACQUISITION LABORATORY  
ATTN G. IAFRATE  
ATTN R. LAREAU  
ATTN D. SMITH  
ATTN L. YERKE  
ATTN T. AUCOIN  
FT MONMOUTH, NJ 07703

DIRECTOR  
NAVAL RESEARCH LABORATORY  
ATTN CODE 2620, TECH LIBRARY BR  
ATTN A. M. KRIMAN  
WASHINGTON, DC 20375

THE AEROSPACE CORPORATION  
ATTN FRANK VERNON  
ATTN RICHARD KRANTZ  
P.O. BOX 92957  
LOS ANGELES, CA 90009

AT&T BELL LABORATORIES  
ATTN B. A. WILSON  
ATTN A. Y. CHO  
ATTN S. LURYI  
ATTN J. E. CUNNINGHAM  
ATTN W. T. TSANG  
600 MOUNTAIN AVE  
MURRAY HILL, NJ 07974

BERKELEY RESEARCH ASSOCIATES, INC  
PO BOX 852  
ATTN R. D. TAYLOR  
SPRINGFIELD, VA 22150

DIRECTOR  
ADVISORY GROUP ON ELECTRON DEVICES  
ATTN SECTRY, WORKING GROUP D  
201 VARICK STREET  
NEW YORK, NY 10013

GOVERNMENT SYS. DIV  
RCA MS 108-102  
ATTN S. KATZ  
MOORESTOWN, NJ 08057

HONEYWELL PHYSICAL SCIENCES CTR  
ATTN P. P. RUDIN  
10701 LYNDAL AVE SOUTH  
BLOOMINGTON, MN 55420

IBM  
T. J. WATSON RESEARCH CENTER  
ATTN P. J. PRICE  
ATTN L. L. CHANG  
ATTN L. ESAKI  
ATTN C. E. T. GONCALVES  
ATTN E. E. MENDEZ  
ATTN W. J. WANG  
YORKTOWN HEIGHTS, NY 10598

LINCOLN LABORATORIES  
ATTN E. R. BROWN  
ATTN T. C. L. G. SOLLNER  
ATTN W. D. GOODHUE  
ATTN C. D. PARKER  
MASSACHUSETTS INSTITUTE OF  
TECHNOLOGY  
LEXINGTON, MA 02173

MARTIN MARIETTA  
ATTN F. CROWNE  
ATTN R. LEAVITT  
ATTN J. LITTLE  
ATTN T. WORCHESKY  
1450 SOUTH ROLLING ROAD  
BALTIMORE, MD 21226

SCIENTIFIC APPLICATIONS, INC.  
ATTN B. GORDON  
3 DOWNING RD  
HANOVER, NH 03755

TEXAS INSTRUMENTS  
ATTN W. R. FRENSLEY  
ATTN M.A. REED

DISTRIBUTION (cont'd)

TEXAS INSTRUMENTS (cont'd)  
ATTN J. W. LEE  
ATTN H-L. TSAI  
CENTRAL RESEARCH LABORATORY  
DALLAS, TX 76265

XEROX CORPORATION  
ATTN R. D. BURNHAM  
ATTN F. A. PONCE  
PALO ALTO, CA 94304

CALIFORNIA INSTITUTE OF  
TECHNOLOGY  
ATTN A. R. BONNEFOI  
ATTN R. T. COLLINS  
ATTN T. C. MCGILL  
PASADENA, CA 91125

UNIVERSITY OF CALIFORNIA  
LOS ANGELES  
ATTN B. JOGAI  
ATTN K. L. WANG  
DEPT. OF ELEC. ENG.  
LOS ANGELES, CA 90024

UNIVERSITY OF HAWAII  
DEPT OF PHYSICS  
ATTN C. VAUSE  
2505 CORREA RD  
HONOLULU, HI 96822

UNIVERSITY OF MARYLAND  
DEPT OF ELEC. ENG.  
ATTN C. H. LEE  
COLLEGE PARK, MD 20742

NORTH CAROLINA STATE  
UNIVERSITY  
ATTN G. S. LEE  
ATTN K. Y. HSIEH  
ATTN R. M. KOLBAS  
DEPT. OF ELEC. ENG.  
RALEIGH, NC 27695

PENN STATE UNIVERSITY  
DEPT OF PHYSICS  
ATTN M. R. GIRI  
HAZLETON, PA 18201

PRINCETON UNIVERSITY  
ATTN V. J. GOLDMAN  
ATTN D. C. TSUI  
DEPT. OF ELEC. ENG.  
PRINCETON, NJ 08544

US ARMY LABORATORY COMMAND  
ATTN TECHNICAL DIRECTOR, AMSLC-TD  
ATTN PUBLIC AFFAIRS, AMSLC-PA

INSTALLATION SUPPORT ACTIVITY  
ATTN LIBRARY, SLCIS-IM-TL (3 COPIES)  
ATTN LIBRARY, SLCIS-IM-TL (WOODBIDGE)  
ATTN LEGAL OFFICE, SLCIS-CC

USAISC  
ATTN RECORD COPY, ASNC-ADL-TS  
ATTN TECHNICAL REPORTS BRANCH,  
ASNC-ADL-TS (2 COPIES)

HARRY DIAMOND LABORATORIES  
ATTN D/DIVISION DIRECTORS  
ATTN CHIEF, SLCHD-NW-E  
ATTN CHIEF, SLCHD-NW-EC  
ATTN CHIEF, SLCHD-NW-ED  
ATTN CHIEF, SLCHD-NW-EE  
ATTN CHIEF, SLCHD-NW R  
ATTN CHIEF, SLCHD-NW-RA  
ATTN CHIEF, SLCHD-NW-RC  
ATTN CHIEF, SLCHD-NW-RE  
ATTN CHIEF, SLCHD-NW-RH  
ATTN CHIEF, SLCHD-NW-RI  
ATTN CHIEF, SLCHD-NW-P  
ATTN CHIEF, SLCHD-RT-RA  
ATTN CHIEF, SLCHD-RT-RB  
ATTN A. HARMANN, SLCHD-NW-EC  
ATTN C. S. KENYON, SLCHD-NW-EC  
ATTN D. TROXEL, SLCHD-NW-EC  
ATTN F. B. MCLEAN, SLCHD-NW-RC  
ATTN P. BRODY, SLCHD-RT-RA  
ATTN J. BRUNO, SLCHD-RT-RA (20 COPIES)  
ATTN H. DROPKIN, SLCHD-RT-RA  
ATTN E. EDWARDS, SLCHD-RT-RA  
ATTN K. HALL, SLCHD-RT-RA  
ATTN M. HANSEN, SLCHD-RT-RA  
ATTN G. HAY, SLCHD-RT-RA  
ATTN E. KATZEN, SLCHD-RT-RA  
ATTN C. MORRISON, SLCHD-RT-RA (10 COPIES)  
ATTN R. NEIFELD, SLCHD-RT-RA  
ATTN C. PENNISE, SLCHD-RT-RA  
ATTN R. SCHMALBACH, SLCHD-RT-RA  
ATTN A. SEMENDY, SLCHD-RT-RA  
ATTN G. SIMONIS, SLCHD-RT-RA  
ATTN T. SIMPSON, SLCHD-RT-RA  
ATTN M. STEAD, SLCHD-RT-RA  
ATTN J. STELLATO, SLCHD-RT-RA  
ATTN M. TOBIN, SLCHD-RT-RA  
ATTN G. TURNER, SLCHD-RT-RA  
ATTN D. WORTMAN, SLCHD-RT-RA  
ATTN R. FELOCK, SLCHD-RT-RB  
ATTN C. GARVIN, SLCHD-RT-RB  
ATTN J. GOFF, SLCHD-RT-RB  
ATTN N. KARAYIANIS, SLCHD-RT-RB  
ATTN D. MCGUIRE, SLCHD-RT-RB  
ATTN P. PELLEGRINO, SLCHD-RT-RB  
ATTN T. BAHDER, SLCHD-RT-RA (20 COPIES)

END

11-87

DTIC